

NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.
: Bombay Stock Exchange Ltd.
: National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019
Phone : 011-46204000 (100 lines)
Email : info@ndaindia.com, website : www.ndaindia.com
CIN : L74899DL1992PLC050366

Format of Complaint against DDPs to be displayed on their respective Website
Ref.: SEBI Circular No. SEBI/HO/FPIC/P/CIR/2021/691 dated December 17, 2021

Date: 07.08.2023

Data for Every Month Ending- July 2023

| S.No. | Received From | Pending at the end of the Last month | Received during the month | Resolved during the month | Total pending at the end of month | Complaints pending > 1 month | Average Resolution on time (in days) |
|-------|-------------------------|--------------------------------------|---------------------------|---------------------------|-----------------------------------|------------------------------|--------------------------------------|
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 5 days |
| 2. | SEBI (SCOREs) | 0 | 0 | 0 | 0 | 0 | 5 days |
| 3. | Stock Exchange | 0 | 0 | 0 | 0 | 0 | 5 days |
| 4. | Other Sources | 0 | 0 | 0 | 0 | 0 | 5 days |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 5 days |

Trend of monthly Disposal of Complaints for the period April 2023 to March 2024

| S.No. | Month | Carried Forward from Previous Month | Received During the Month | Resolved During the Month | Pending at the end of the Month |
|-------|------------|-------------------------------------|---------------------------|---------------------------|---------------------------------|
| 1. | APRIL 2023 | 0 | 0 | 0 | 0 |
| 2. | MAY 2023 | 0 | 0 | 0 | 0 |
| 3. | JUNE 2023 | 0 | 0 | 0 | 0 |

Trend of Annual Disposal of Complaints

| S.No. | Month | Carried Forward from Previous Year | Received During the Year | Resolved During the Year | Pending at the end of the year |
|-------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2019-20 | 0 | 0 | 0 | 0 |
| 2 | 2020-21 | 0 | 0 | 0 | 0 |
| 3 | 2021-22 | 0 | 6 | 6 | 0 |
| 4. | 2022-23 | 0 | 0 | 0 | 0 |

